

UNPARALLELED SERVICE

## Are you taking on more than you should?

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Most 401(k) plan advisors offer a self-service model that only serves them.

Not Fisher. Our dedicated service is foundational to our business. With us, you get more for your money—like getting upgraded from economy to first class for free.

**FI**  
401(k)

*Investing in securities involves the risk of loss. Past performance is no guarantee of future results. Intended for use by employers considering or sponsoring retirement plans; not for personal use by plan participants.  
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# Who takes care of plan responsibilities?

FISHER DOES MORE  
FOR YOU AND  
WITH YOU.

		TYPICAL PLAN ADVISOR	FISHER
INVESTMENTS	DESIGN INVESTMENT STRUCTURE	You	For You
	SELECT, MONITOR, AND UPDATE INVESTMENTS	You	For You
VENDOR MANAGEMENT	MONITOR PLAN PROVIDERS	You	With You
	BENCHMARK PLAN SERVICES & FEES	You	For You
PLAN MANAGEMENT	PROGRAM DESIGN	You	With You
	REVIEW PLAN GOVERNANCE	You	With You
	CREATE FIDUCIARY AUDIT FILE	You	For You
EMPLOYEE SERVICES	EDUCATE EMPLOYEES	You	For You
	DISTRIBUTE EMPLOYEE NOTICES	You	With You

# Fisher provides services that others can't or won't provide



## SUPERIOR PLAN & INVESTMENT GUIDANCE

*Better Plan + Participation Outcomes*

Your interests first. Incentive structures that are aligned with client success.

Tailored investment solutions to maximize employer and participant outcomes.

Access to open architecture funds, institutional share classes and Fisher's rigorous fund selection and monitoring process.

Professional one-on-one investment guidance and education.



## UNPARALLELED SERVICE

*Better Plan + Participation Outcomes*

Tailored participant education programs to maximize participant engagement.

Regular in-person, one-on-one meetings to increase employee participation and improve retirement readiness.

Language support in English and Spanish, and translation services for most other languages.

Money back service level guarantee.



## SIMPLIFIED ADMINISTRATION & VENDOR MANAGEMENT

*Saves Time*

Dedicated single-point-of-contact for all plan servicing, troubleshooting and vendor management.

End-to-end plan transition management.

Small business experts with the knowledge, motivation, and clout to champion your needs with vendors.

We streamline retirement plan administration and payroll processes.



## LEGAL PROTECTION

*Less Risk*

Support adherence to Department of Labor and IRS guidelines.

We help maintain a fiduciary audit file which includes benchmarking for fees, services and performance.

Full plan fiduciary investment oversight.

Selection and ongoing maintenance of the fund lineup.

## With you every step of the way

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**Fisher's plan includes unparalleled service for all aspects of your 401(k). Fisher's ongoing sponsor support includes:**

- Single-point-of-contact with a do-it-for-you approach to investment management
- High-touch transition management for a seamless shift to a new plan
- Admin support for payroll deduction, record keeping, and more

**Fisher's active engagement with participants includes:**

- One-on-one meetings to increase employee participation and improve retirement readiness
- Customized participant education so employees feel the benefit of the retirement program
- Several investment solutions to meet a wide range participant needs

